Union Settlement is an on-the-ground resource for East Harlem residents of all ages, and a passionate advocate for the needs of underserved communities. Since opening our doors in 1895, we have brought education, wellness and community-building programs to our neighborhood, empowering New Yorkers with opportunities to better their lives.
From the Board Chair and Executive Director

Dear Friends of Union Settlement:

Our annual report marks the time when we take a moment to note our successes over the past year and identify areas of new opportunity. It is also a time for us to reflect on the context in which we operate. That includes the economic and social trends that affect our underserved East Harlem community, as well as the impact on residents of the new administration in Washington.

Despite many challenges, we start every day focused on the opportunities we have to address our community’s needs. As you read this report, you’ll see meaningful examples of the work we do with over 10,000 children, teens, adults and senior citizens who benefit from Union Settlement’s programs.

Two examples highlight the progress we made this past year:

- We were awarded a five-year contract of up to $10.3 million from the Manhattan District Attorney’s Office, which will enable us to create a Youth Opportunity Hub in East Harlem; and
- We also received a three-year $1.5 million grant from the New York City Department of Small Business Services to implement the East Harlem Commercial Corridor Revitalization program.

The Trump administration has had a huge impact on our residents, especially those who are undocumented immigrants. Some are afraid to leave their homes, keeping their children (many of whom are American citizens) from attending our Early Childhood Education programs. Some no longer attend our Adult Education classes, where they have been learning English and other important skills. At the same time, our mental health counselors are seeing more residents with anxiety and depression. All of our East Harlem neighbors, citizens and non-citizens alike, face greater concerns about healthcare costs and availability, housing, education, job training, child care and elder care.

Facing these challenges, we remain committed to our goals. Our dedicated staff has done an incredible job at expanding our outreach while strengthening our programs and services. We continue to create opportunities for those who need them, and to make life better in the community we serve.

We thank those of you who have committed time, energy and resources to the success of this organization. We are so grateful for your support.

Sincerely,

Ruth Pachman  David M. Nocenti
Chair, Board of Directors  Executive Director
Early Childhood Education

Union Settlement provides high-quality, full-day educational programs to over 800 infants, toddlers and preschoolers, ages eight weeks to five years, making us the tenth largest provider of early childhood education in New York City. Our well-rounded programs focus on developing cognitive, social, emotional, creative and motor skills so that our children are well prepared to be successful students when they enter kindergarten.

“\textit{I like working in our Early Childhood Program because we do a lot of enrichment activities to help the kids with their foundational skills. We also get to love and nurture them and get them ready for kindergarten.}”

— Shariah Bottex, teacher for five years at Union Settlement’s Leggett Memorial Early Childhood Center

Did you know? In FY 2017:

Over 500 East Harlem children, ages two to four, attended early childhood programs at one of our seven centers.

Over 300 infants and toddlers attended 64 home-based child care centers through our pioneering Family Child Care Network, which trains qualified neighborhood residents to set up their own home-based early education centers.

Grants from the \textit{Stella & Charles Guttman Foundation} and the \textit{van Ameringen Foundation} allowed us to expand the mental health services provided in our early childhood education centers and the Family Child Care Network.
Youth Services: In-School Programs

Union Settlement offers a rich and wide variety of afterschool and summer education, arts and recreation programs for over **2,250 children** who are attending kindergarten through high school. Many of these children start with us in elementary school and stay with us until they enter college. For them, we are like a second family.

> “Union Settlement taught me that you’re not defined by your circumstance and to always have faith in yourself. So many doors have opened because of what they taught me and I am so grateful.”
> — Cerilene, Graduate of Union Settlement’s College Readiness Program

**Did you know? In FY 2017:**

405 children ages five to 12—up from 344 last year—were enrolled in our lively afterschool program at two **East Harlem elementary schools and two community centers**. Our program features year-round activities, including tutoring, computer instruction, visual and performing arts projects, recreation and a summer day camp.

Our **College Readiness program** provided college and financial aid counseling to more than 1,200 **low income students**, the majority of whom are prospective **first-generation college students**. We served 183 high school seniors: 98% graduated on time and 87% headed to college this fall.

Thanks to renewed funding from the **Pine Tree Foundation**, we were able to continue our successful **Intergenerational Tutoring program**, which provides one-on-one literacy support to at-risk elementary school children, who have been identified by their schools as needing help in reading and writing.
Youth Services: Out-of-School Programs

Union Settlement serves approximately 1,000 youth, mostly African American and Latino, who are neither attending school nor participating in the labor force. These “disconnected” youth face daunting challenges, including poverty, early parenthood, criminal justice system involvement, low educational levels and lack of employment opportunities. Our programs provide targeted services to these at-risk and court-involved youth to help them build successful futures.

"Career Academy taught me, a boy with no job and no experience, how to deal with employers. The program also taught me how to focus on the future. It has contributed to my self-confidence and determination."

— Tashawn Davis, Career Academy Participant [not pictured]

Did you know? In FY 2017:

Our rigorous programs offered young men and women, ages 16 to 24 who have left or been pushed out of school, a range of services including high school equivalency classes, workshops, tutoring and college and career exploration.

Thanks to a multi-year contract from the Manhattan District Attorney, we were selected to create a Youth Opportunity Hub in East Harlem and will be coordinating a network of more than 25 other service providers to promote positive behavior and decrease criminal justice involvement among at-risk children, adolescents and young adults.

We provide employment services through Career Academy, now in its fifth year of operation and funded through a grant from Robin Hood. Supporting young adults ages 17 to 24 who are neither in school nor employed, the goal of the Academy is to enhance a candidate’s competitive positioning to enter the employment market and stay employed.
Adult Education

As one of the area’s largest adult education providers, we are committed to helping our neighbors achieve their educational and career goals. Although our adult students face many obstacles—27% did not graduate from high school and only 31% have a four-year college degree—we help them take the vital and necessary steps to create opportunities for themselves and their families.

“\textit{I moved to the United States from the Dominican Republic, and after one year and four months, I can speak English. That is excellent for me! I want to go to the university and teach music.}”

— Ysaac, student in our Adult Education program [not pictured]

Did you know? In FY 2017:

We assisted over \textbf{500 students} —up from 350 in the previous year—with English for Speakers of Other Languages (ESOL), Adult Basic Education and High School Equivalency, and four Health Career Pathways classes.

Our \textbf{Health Career Pathways Training Program} helped area residents begin careers as Home Health Aides, Certified Nursing Assistants, Personal Care Assistants and Medical Coding/Billing professionals. While the classes are offered in Spanish to an overwhelmingly immigrant population, English is also used as a teaching tool.

For the past 25 years, we have collaborated with the 92nd Street Y, and this year nearly \textbf{100 ESOL} students participated in creative writing workshops, read contemporary literature, enjoyed visits from renowned authors and shared their own creative writing.
Senior Services

Union Settlement has been providing essential services for East Harlem seniors for over a century, and our Meals on Wheels program has served homebound seniors for over 40 years. Our senior population relies heavily on Union Settlement to help meet their everyday needs, including mitigating feelings of isolation. We provide a range of services to more than 2,400 older adults each year.

“\textit{I have been coming to this center for almost 25 years. It’s awesome! It’s my home away from home. I come every day and I help welcome other seniors who may feel isolated and alone.}”

— Josephine [pictured], celebrating her 74th birthday with Margaret, who celebrated her 101st birthday this year

Did you know? In FY 2017:

725 older adults attended our four Senior Centers where they enjoyed daily group meals, a variety of evidence-based exercise activities, benefits assistance counseling, nutrition classes, health and wellness programs, computer classes, games and arts and cultural activities.

We delivered approximately 160,000 meals to 520 homebound seniors—up from 450 this time last year—through our Meals on Wheels program. We also provided supplemental sandwiches to Meals on Wheels clients three times a week through our Dinner Project.

Thanks to support from the Isaac H. Tuttle Fund, we were able to partner with Asphalt Green Fitness Center to provide a wide range of health and fitness services at their site on the Upper East Side and in our Senior Centers.
Mental Health

Untreated mental health conditions devastate individuals, families and communities and exact staggering social and economic costs. In East Harlem, factors such as poverty, community and domestic violence, substance abuse, trauma and child abuse substantially increase the emotional burdens many of our residents shoulder. For more than 60 years, Union Settlement has provided mental health counseling services to keep families together, minimize psychiatric hospitalizations, help clients maintain sobriety and literally save lives.

“Prior to going to Union Settlement, I lived in a haze. I struggled with addiction for 33 years. Union Settlement believes in people even when people don’t believe in themselves.”

— Noel, mental health services participant [not pictured; staff pictured at left]

Did you know? In FY 2017:

907 people received assistance through our licensed mental health clinic, where we offer individual, family, group and couples therapy, crisis intervention, psychological/psychiatric evaluation, medication management, advocacy and other services.

206 emotionally disturbed children and adolescents — up from 138 last year — benefited from our mental-health focused case management services, which provided mental health, educational, medical and social services that they needed.

Our mental health services for children continue year round. Last summer we ran a therapeutic summer camp program for 40 elementary-age children who are clients of our school clinics, along with their siblings.
Community and Small Business Development

Union Settlement is a leader in developing community-building initiatives that enhance the lives of all East Harlem residents. Our mission includes nurturing and developing small businesses and entrepreneurs.

“Thanks to the support of the New York Women’s Foundation, we were able to continue our Women’s Entrepreneurial Success Training (WEST) program, which provides women with specialized training to start their own businesses. The women learn essential business, accounting, computer and marketing skills during the six-month session.”

— Edwin Nieves, Senior Director, Business Development Center

Did you know? In FY 2017:

The English/Spanish bilingual staff of our Business Development Center provided business education, technology training and technical assistance to 200 entrepreneurs and business owners in the community.

The East Harlem Community Alliance, established by Union Settlement in 2012, is a consortium of local businesses, nonprofits, and religious and governmental entities which seeks to address the problems faced by the community. With over 150 members, the Alliance is focused on four initiatives: Hire East Harlem, Buy East Harlem, Serve East Harlem and Promote East Harlem.

Thanks to a three-year grant of nearly $1.5 million from the NYC Department of Small Business Services, we will be overseeing a Commercial Corridor Revitalization Program in East Harlem, which will involve coordinating a major economic revitalization initiative in the area.
## Statement of Financial Position

*For the fiscal year ending June 30, 2017 and June 30, 2016*

<table>
<thead>
<tr>
<th></th>
<th>2016 Audited</th>
<th>2017 Unaudited</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Assets</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Cash</td>
<td>$113,494</td>
<td>$6,639</td>
</tr>
<tr>
<td>Investments</td>
<td>1,731,933</td>
<td>2,093,232</td>
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<tr>
<td>Accounts Receivable</td>
<td>292,393</td>
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<td>Other Receivables</td>
<td>74,637</td>
<td>117,885</td>
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<td>Due from Government Agencies</td>
<td>2,072,910</td>
<td>2,639,219</td>
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<tr>
<td>Furniture/Fixtures</td>
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<td>37,857</td>
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<tr>
<td>Prepaid Expenses</td>
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<td>20,335</td>
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<tr>
<td>Other Assets</td>
<td>–</td>
<td>23,331</td>
</tr>
<tr>
<td><strong>Total Assets</strong></td>
<td><strong>$4,337,625</strong></td>
<td><strong>$5,331,117</strong></td>
</tr>
</tbody>
</table>

|                |                        |                      |
| **Liabilities and Net Assets** |                    |                      |
| Accounts Payable | $1,409,750            | $1,679,043           |
| Accrued Expenses | 531,890               | 626,087              |
| Recoverable Grant | 1,000,000             | 1,000,000            |
| Line of Credit | –                      | 315,000              |
| Advances Payable | 6,995                 | 48,897               |
| Liability for Pension Benefits | 6,656,532        | 5,075,552            |
| **Total Liabilities** | **$9,605,167**     | **$8,744,579**       |
| Unrestricted    | (5,667,001)           | (4,380,379)          |
| Temporarily Restricted | 159,994             | 692,452              |
| Permanently Restricted | 239,465             | 274,465              |
| **Total Net Assets (Deficit)** | **(5,267,542)** | **(3,413,462)**     |
| **Total Liabilities and Net Assets** | **$4,337,625** | **$5,331,117**     |
## Statement of Activities

*For the fiscal year ending June 30, 2017 and June 30, 2016*

### Total Support and Revenues

<table>
<thead>
<tr>
<th></th>
<th>2016</th>
<th>2017</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Audited</td>
<td>Unaudited</td>
</tr>
<tr>
<td>Foundations</td>
<td>$1,679,817</td>
<td>$1,954,022</td>
</tr>
<tr>
<td>Corporations</td>
<td>433,375</td>
<td>173,220</td>
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<tr>
<td>Individuals</td>
<td>268,966</td>
<td>592,976</td>
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<tr>
<td>In-Kind Support</td>
<td>3,116,412</td>
<td>3,487,215</td>
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<tr>
<td>Other Community Groups</td>
<td>1,267,390</td>
<td>1,112,168</td>
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<tr>
<td>Government Grants and Contracts</td>
<td>15,439,597</td>
<td>16,924,038</td>
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<tr>
<td>Investment Income</td>
<td>38,320</td>
<td>146,960</td>
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<tr>
<td>Program Revenues</td>
<td>4,471,714</td>
<td>5,792,281</td>
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<tr>
<td>Special Events (net)</td>
<td>582,734</td>
<td>468,737</td>
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<tr>
<td>Other Revenues</td>
<td>400,012</td>
<td>217,058</td>
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<tr>
<td><strong>Total Support and Revenue</strong></td>
<td>$27,698,337</td>
<td>$30,868,676</td>
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</tbody>
</table>

### Expenditures

#### Programs

<table>
<thead>
<tr>
<th>Service Type</th>
<th>2016</th>
<th>2017</th>
</tr>
</thead>
<tbody>
<tr>
<td>Early Childhood Services</td>
<td>$12,131,384</td>
<td>$13,316,922</td>
</tr>
<tr>
<td>Youth Services</td>
<td>4,895,507</td>
<td>6,004,532</td>
</tr>
<tr>
<td>Adult Education</td>
<td>393,895</td>
<td>526,006</td>
</tr>
<tr>
<td>Services for Older Adults</td>
<td>3,176,986</td>
<td>3,630,187</td>
</tr>
<tr>
<td>Mental Health Counseling</td>
<td>4,127,216</td>
<td>4,471,161</td>
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<tr>
<td>Other Programs</td>
<td>275,941</td>
<td>344,086</td>
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<tr>
<td><strong>Total Program Services</strong></td>
<td>$25,000,929</td>
<td>$28,292,894</td>
</tr>
</tbody>
</table>

#### Support Services

<table>
<thead>
<tr>
<th>Service Type</th>
<th>2016</th>
<th>2017</th>
</tr>
</thead>
<tbody>
<tr>
<td>Management and General</td>
<td>$1,686,743</td>
<td>$1,687,631</td>
</tr>
<tr>
<td>Computer Technology</td>
<td>132,224</td>
<td>151,702</td>
</tr>
<tr>
<td>Publicity and Fundraising</td>
<td>648,379</td>
<td>515,741</td>
</tr>
<tr>
<td>Building Operations</td>
<td>132,272</td>
<td>154,878</td>
</tr>
<tr>
<td><strong>Total Support Services</strong></td>
<td>$2,599,618</td>
<td>$2,509,952</td>
</tr>
</tbody>
</table>

#### Total Expenses

<table>
<thead>
<tr>
<th></th>
<th>2016</th>
<th>2017</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Total Expenses</strong></td>
<td>$27,600,547</td>
<td>$30,802,846</td>
</tr>
</tbody>
</table>

#### Operating Surplus/Deficit

<table>
<thead>
<tr>
<th></th>
<th>2016</th>
<th>2017</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Operating Surplus/Deficit</strong></td>
<td>$97,790</td>
<td>$65,830</td>
</tr>
<tr>
<td>Pension-related changes other than net periodic benefit cost</td>
<td>(1,641,354)</td>
<td>1,580,980</td>
</tr>
<tr>
<td><strong>Net Adjusted Surplus</strong></td>
<td>($1,543,564)</td>
<td>$1,646,810</td>
</tr>
</tbody>
</table>
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The New York Community Trust

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3BJ SEIU
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William Warshaw
Arthur Webb
Theodore Williams Construction Company
Christina Zarcadoolas
Dearing Zeiler
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$250–$499
Robert Abrams
Deborah Adams
American Century Investments
Apple Bank for Savings
Attebury House
Paul F. Balser
Janice Bayer
Denise Benn
Julie Binder
Biros House
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John H.T. Wilson served on Union Settlement’s Board of Directors and Advisory Council for over 40 years, including serving as Board Chair. Our planned giving program is named in honor of John and his wife, Sandra Wilson, to recognize his four decades of leadership and their generous support for the organization. We gratefully acknowledge the current members of the Legacy Society:

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Design by Abby Goldstein
All photographs courtesy of Karen Smul
Back Cover: A resident of East Harlem tends his plants in El Sitio Feliz, our community garden.
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Administration
237 East 104th Street
(212) 828-6000

Adult Education
237 East 104th Street, 2nd Floor
(212) 828-6016

Business Development Center
205 East 122nd Street, Suite 220
(646) 545-5204

Early Childhood Education
Family Child Care Network
2005 First Avenue (at 113th Street)
(212) 828-6059

Franklin Plaza Early Childhood Center
2081 Second Avenue (at 107th Street)
(212) 828-6415

Leggett Memorial Early Childhood Center
237 East 104th Street
(212) 828-6051

Metro North Early Childhood Center
104 East 122nd Street
(212) 828-6083

Pequeños Souls Early Childhood Center
114 East 122nd Street, Main Floor
(212) 427-7644

Union Carver Early Childhood Center
1563 Madison Avenue (at 106th Street)
(212) 828-6078

Union Johnson Early Childhood Center
1829 Lexington Avenue (at 113th Street)
(212) 828-6072

Union Washington Early Childhood Center
1803 Second Avenue (at 97th Street)
(212) 828-6089

Mental Health
Johnson Counseling Center
2089 Third Avenue (at 114th Street)
(212) 828-6144

School-Based Satellite Clinic–PS 72
313 East 104th Street
(212) 828-6078

School-Based Satellite Clinic–PS 102
315 East 113th Street
(212) 828-6072

School-Based Satellite Clinic–PS 146
421 East 106th Street

Washington Community Center
1775 Third Avenue (at 98th Street)
(212) 828-6111

Senior Services
Corsi Senior Center
307 East 116th Street
(212) 828-6756

Gaylord White Senior Center
2009 Second Avenue (at 104th Street)
(212) 828-6055

Jefferson Senior Center
2205 First Avenue (at 113th Street)
(212) 828-6098

NORC At Franklin Plaza
2078 Second Avenue (at 108th Street)
(646) 676-5460

Washington-Lexington Senior Center
1775 Third Avenue (at 98th Street)
(212) 828-6115

Youth Services
Gaylord White Community Center
237 East 104th Street
(212) 828-6000

Jefferson Community Center
2205 First Avenue (at 113th Street)
646-245-5365

Esperanza Preparatory Academy
After School Program (M372)
240 East 109th Street
(646) 597-9728

Harlem Prep Charter School
232 East 103rd Street
(212) 831-5394

Joseph Lanzetta Middle School
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216 East 120th Street
(646) 345-7048

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160 East 120th Street
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