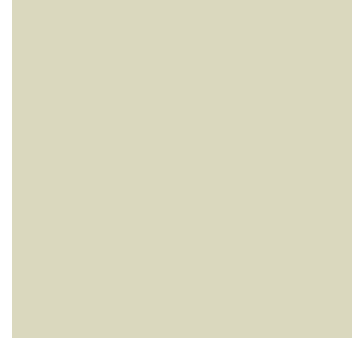
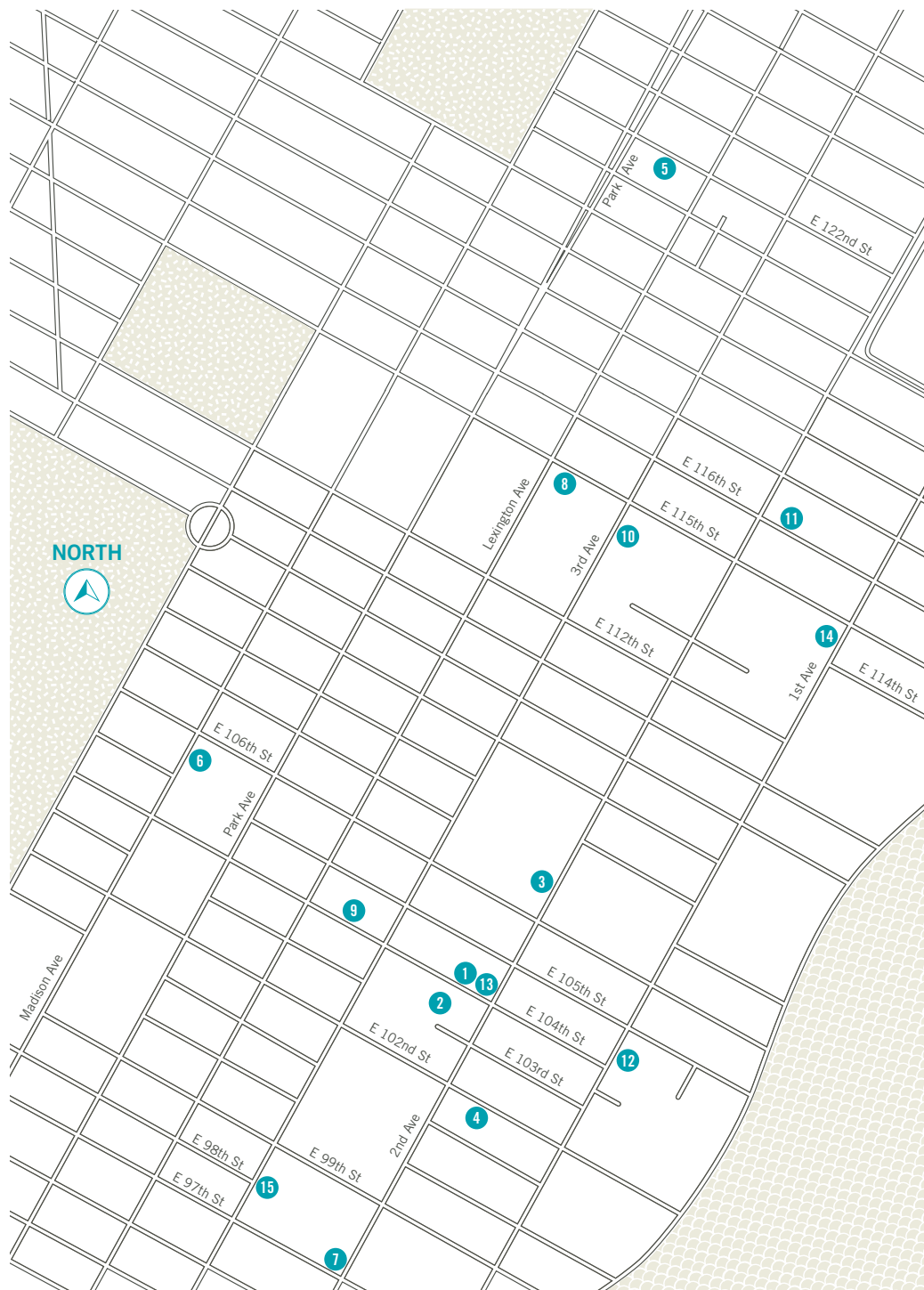


2013 Calendar & 2012 Annual Report





LOCATIONS

Administration

- 1 237 East 104th Street
(212) 828-6000

Adult Education

- 1 237 East 104th Street, 2nd Floor
(212) 828-6016

Credit Union

- 1 237 East 104th Street, Main Floor
(212) 828-6061/2/0

Early Childhood

- 13 FAMILY CHILD CARE NETWORK
2209 Second Avenue (at 104th Street)
(212) 828-6059

- 2 HEAD START AT 218
218 East 104th Street, Main Floor
(212) 828-6070

- 3 HEAD START AT
FRANKLIN PLAZA
2081 Second Avenue
(212) 828-6413

- 1 LEGGETT MEMORIAL
DAY CARE CENTER
237 East 104th Street
(212) 828-6051

- 4 METRO NORTH
DAY CARE CENTER
304 East 102nd Street
(212) 828-6083

- 5 PEQUEÑOS SOULS DAY CARE
114 East 122nd Street, Main Floor
(212) 427-7644

- 6 UNION CARVER
DAY CARE CENTER
1565 Madison Avenue (at 106th Street)
(212) 828-6078

- 7 WASHINGTON
DAY CARE CENTER
1893 Second Avenue (at 97th Street)
(212) 828-6088

Home Care

- 8 158 East 115th Street, Suite #218
(212) 828-6141

Manhattan HIV CARE Network

- 9 174 East 104th Street, 3rd Floor
(212) 828-6182

Mental Health

- 10 2089 Third Avenue (at 114th Street)
(212) 828-6144

Seniors

- 11 CORSI SENIOR CENTER
307 East 116th Street
(212) 828-6756

- 12 EAST RIVER SENIOR CENTER
402 East 104th Street
(212) 828-6107

- 13 GAYLORD WHITE
SENIOR CENTER
2209 Second Avenue (at 104th Street)
(212) 828-6055

- 14 JEFFERSON SENIOR CENTER
First Avenue (at 114th Street)
(212) 828-6098

- 15 WASHINGTON/LEXINGTON
SENIOR CENTER
1775 Third Avenue (at 98th Street)
(212) 828-6115

Youth

- 15 WASHINGTON
COMMUNITY CENTER
1775 Third Avenue (at 98th Street)
(212) 828-6110



Union Settlement Association creates opportunity in East Harlem by offering comprehensive programs that help underserved residents improve their skills and build better lives for themselves and their families.

DESIGN Design Works, Neha Motipara + Abby Goldstein

PHOTOGRAPHED BY Jordan Boone, Ari Briski, Rick Brown, Adam Cantor, James Elkus, Evan Forman, Gabriella Herman, Sarah Kuras, Sara Stuart, Annie Whelan and Emily Wilson.



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Advocate for East Harlem Community
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Author

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Hostos Community College

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Research Analyst, Anchorage Capital Group, LLC

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Financial Analyst

BARRY STIMMEL, M.D.
Ombudsperson and Dean Emeritus, Mount Sinai
School of Medicine

SZILVIA SZMUK-TANENBAUM, PH.D.
Retired Educator/Librarian

KATE B. TOWNSEND
Retired Development Professional

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ROGER CABAN

A. MACDONALD CAPUTO

CAMILLE CHIN-KEE-FATT

LORRAINE CORTEZ-VAZQUEZ

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HON. ROBERT JACKSON

JOANNA LANCASTER

SUSIE LANCASTER (MRS. BURT)

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ANNE PERKINS

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HARRIET L. WARM

ELLEN WERTHER

C. STUART WHITE

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LINDA EMBRY, LCSW
Director of Mental Health Services

LAURA JOHNSON
Associate Executive Director,

CHARMAINE MASSIAH
Director of College Readiness/
Assistant Director of Youth Services

SHABANA K. MASTER
Human Resources Manager

DEOCHAND NARAIN
Chief Financial Officer

MELISSA NIEVES
Director of Adult Education

DAVID NOCENTI
Executive Director

STEVEN PORTERICKER
Director of Youth Services

ANA ROSENBLUM
Manager/CEO, Union Settlement Federal
Credit Union

FRANCES SADLER
Chief Operating Officer, Union Settlement
Home Care Services

SARA STUART
Director of Development and Communication

CARLOS TORUÑO
Director of Facilities

Letter From the Executive Director and Chairman of the Board



Dear Friends of Union Settlement:

At first glance this looks like a calendar. But it is much more than that.

It is our FY2012 Annual Report, and includes lots of information about the many accomplishments in our programs and services during the past year, as well as a summary of our agency financial information.

It is a glimpse into the lives of some of our participants, several of whom explain in their own words what Union Settlement means to them.

It is a window into the past, with dates of important events in Union Settlement's 117-year history here in East Harlem.

It is a "thank you" to our supporters—individuals, foundations, corporations and government agencies—who through gifts, grants, contracts or in-kind donations have provided Union Settlement with the resources we require to serve those in need.

It is a photo album, with wonderful images of the children, adults, families, locations and activities that together make up Union Settlement.

Finally, of course, it is a calendar. So display it proudly and prominently, and use it to write in your own meeting and appointment dates. And when you turn the page as each month passes, be comforted knowing that, thanks to your support, Union Settlement is working every day to help our East Harlem neighbors improve their skills and build better lives for themselves and their families.

Wishing you all the best in the coming year.

A handwritten signature in black ink, appearing to read "Reginald Harwell".

Reginald Harwell
Chair

A handwritten signature in black ink, appearing to read "David M. Nocenti".

David M. Nocenti
Executive Director



JANUARY

"Everyone at Union Settlement has a big heart."

— Giovanni Classen, community member and youth program participant

Sunday

Monday

Tuesday

Wednesday

Thursday

Friday

Saturday

1

NEW YEAR'S DAY

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THREE KINGS DAY

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MARTIN LUTHER KING DAY

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AGENCY HIGHLIGHTS

Members of the Board of Directors and staff created a strategic plan which will help us become an even more effective organization in the coming three years. Among the plan's initiatives is a commitment to develop metrics to assess program quality and measure program improvements.

An agency-wide effort was launched to ensure that Union Settlement is a safe environment for East Harlem's LGBTQ (lesbian, gay, bisexual, transgender, questioning) population, and that an individual's sexuality will never adversely affect a participant's or staff member's experience within any of our programs. Our goal is to promote an open, safe and aware community

with respect to matters of gender and sexuality through education.

We were matched with a team of Morgan Stanley employees who won the 2012 Morgan Stanley Strategy Challenge with their strategic analysis of our Adult Education program. Union Settlement was one of only 15 nonprofits selected for this national competition.



College Readiness



FEBRUARY

*"Now, I feel great about the college process...
I have a head start." — Nisat Begum, College Readiness Program participant*

Sunday

Monday

Tuesday

Wednesday

Thursday

Friday

Saturday

1915: In response to the economic crisis of 1915, Union Settlement provides relief work, such as bandage rolling and rug making, for 300 unemployed.

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CHINESE NEW YEAR

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WASHINGTON'S BIRTHDAY

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COLLEGE READINESS HIGHLIGHTS

In FY2012 we provided college and financial aid counseling to over 1,100 low-income, prospective first-generation college students in six public high schools and three middle schools.

We helped 235 high school seniors navigate the college application

process, of which 97% graduated and 90% were accepted to college.

52 high school students attended summer pre-college programs in writing and theater, and in science and technology, and we offered visits to 15 colleges.

Our new Program Alumni College Transitions initiative helped 59 new

college students with support services throughout their freshman year. We are proud that the 59 students remained enrolled and are continuing into their second year of college.

During the last 50 years, our College Readiness Program has helped nearly 20,000 low-income students pursue their dreams of higher education.



Early Childhood



MARCH

"My child is really benefiting from the program and I know that she is in a safe environment." — Child Care Parent

Sunday

Monday

Tuesday

Wednesday

Thursday

Friday

Saturday

1965: Union Settlement opens one of the nation's first Head Start centers.

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31 EASTER

FIRST NIGHT
OF PASSOVER

GOOD FRIDAY



EARLY CHILDHOOD PROGRAM HIGHLIGHTS

Union Settlement provides well-rounded, bilingual and high quality early childhood education to over 500 children who attend our Child Care/Head Start centers and our Family Child Care Network.

We enrolled 5,600 children in our pediatric asthma tracking and education program throughout East and Central Harlem to combat high rates of pediatric asthma.

263 staff members and parents at 81 centers benefitted from asthma awareness training.

We give priority to meeting the needs of homeless families and served approximately 90 homeless families, providing crucial socialization and stability for their children, which allows their parents time to seek work and permanent housing.





APRIL

"I am humbled by my clients... They have a lot of strength; they are resourceful." — Therapist

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22 EARTH DAY	23	24	25	26	27
28	29	30				

April 1957: Union Settlement Federal Credit Union opens.



MENTAL HEALTH SERVICES HIGHLIGHTS

The Johnson Counseling Center, our licensed mental health clinic, provides assistance to the residents of East Harlem through individual, family, group and couples therapy, crisis intervention, psychiatric evaluations, and other services.

This year we served 687 clients. One quarter of our clients are children ages 12 and under, 14% are ages 13 to 20, and nearly 20% are seniors over age 55.

We provided 142 emotionally disturbed children and adolescents with case management services.

Our HIV Counseling Program assisted 43 HIV-infected adults, their partners and their families with professional assessments, treatment and case management in both English and Spanish.



Volunteering



MAY

"Our team is always excited to spend time weeding and planting to beautify Union Settlement's community garden." — Dawn Carrillo, Popular Community Bank

Sunday

Monday

Tuesday

Wednesday

Thursday

Friday

Saturday

May 1895: Union Settlement founded by alumni of Union Theological Seminary.

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MOTHER'S DAY

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MEMORIAL DAY

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VOLUNTEER HIGHLIGHTS

During FY2012 volunteers donated over 2,000 hours of service to Union Settlement. These hours were spent tutoring students, beautifying our centers, and providing administrative support.

257 volunteers came in groups to complete 21 service projects across Union Settlement programs, including job and college readiness fairs, arts and fitness activities, garden beautification, and assisting with parties for children and seniors.

Union Settlement hosted 10 Americorps VISTA volunteers. These dedicated young people helped to connect teen program participants with tutoring, summer internships and volunteer opportunities, expand an alumni network for our College Readiness Program, and create a gardening and nutrition education program for children ages 6-10.



Young Adults



JUNE

“You may never be famous or make a big impact in the world, but your kid, that’s the one person who will always remember you.” — Fatherhood Program participant

Sunday

Monday

Tuesday

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Thursday

Friday

Saturday

June 1903: Union Settlement opens its first youth summer camp at Lake Mohegan.

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FATHER'S DAY

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YOUTH: OUT-OF-SCHOOL PROGRAM HIGHLIGHTS

Union Settlement served 92 "disconnected" youth ages 16-24 who were out of school and unemployed. 70 completed work-readiness programs; 15 were placed in internships; 14 enrolled in alternate education services or returned to public schools; and 5 passed the GED exam.

70 young noncustodial fathers participated in our Fatherhood Program, which provides individual and family counseling, parent and life skills workshops, job readiness training and placement, visitation assistance/court advocacy, and mentoring.

All participants have contact with their children at least two to three times a week.

Half of the fathers have gained employment; the other half are actively interviewing for full-time jobs; and 10 have enrolled in pre-GED classes.

50% of our participants were able to provide consistent financial assistance for their children, an increase from 42% in FY2011.





Seniors

JULY

“I’ve been here since the first day of swimming this summer, and I’ll be doing this for the rest of my life.” — Juana Gilbert, Jefferson Senior Center participant

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
	1	2	3	4 INDEPENDENCE DAY	5	6
7	8	9 FIRST NIGHT OF RAMADAN	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

1942: With growing population of elderly, Union Settlement responds by starting one of the first seniors programs in New York City.



SENIOR SERVICES HIGHLIGHTS

Over 1,300 older adults use our services, which include group meals, fitness and health classes, benefits assistance, nutrition workshops, computer resources, games and arts and cultural activities.

70,000 meals were provided at our five Senior Centers.

Over 370 homebound seniors are enrolled in our Meals on Wheels program, and we delivered over 100,000 hot meals this past year. We also delivered 77,142 sandwiches through our East Harlem Dinner Project.

250 seniors in East Harlem and Central Harlem used our Senior Transportation program to take 17,789 individual trips to parks, fitness centers, farmers markets, parties, sporting events, and doctor's appointments.

Seniors participate in our regular exercise programs such as Walking Clubs, Staywell Exercise, Yoga, Tai Chi, Zumba and Senior Swim.

Over 185 seniors volunteered 4,200 hours of service each month to assist their neighbors and senior centers.

A photograph of a smiling couple. The woman on the left has long dark hair and is wearing a black top. The man on the right has dark curly hair, a beard, and is wearing a black button-down shirt. They are standing in front of a large, colorful abstract painting with green, yellow, and blue tones.

Credit Union

AUGUST

“The loan was the extra push I needed. It was great they were able to work with us.” — Luis Pagan, Owner, Little Red Cart

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
1937: Union Settlement opens a cooperative grocery in East Harlem.				1	2	3
4	5	6	7	8 EID AL-FIR	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31



CREDIT UNION HIGHLIGHTS

Since its inception in 1957, the *Union Settlement Federal Credit Union* (USFCU) has been a financial lifeline for East Harlem’s low-income residents.

Last year, 250 members were provided with \$1,054,747 in personal, business, home and educational loans.

USFCU helped East Harlem residents file over 1,800 tax returns, generating \$2.9 million in refunds – up from \$2.4 million last year.

Last year, USFCU held 66 finance workshops – up from 26 workshops the previous year – attended by 455 participants, including parents in our childcare program, senior center members, youth in our after-school programs, and Adult Education students.

Adult Education



SEPTEMBER

“The program was fun. The classes focus on what you need the most.” — Jerra Hall, Adult Education participant and recent GED graduate

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
1	2 LABOR DAY	3	4 ROSH HASHANAH AT SUNSET	5	6	7
8	9	10	11	12	13 YOM KIPPUR AT SUNSET	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

September 2011: Mayor Bloomberg proclaims September 27 Union Settlement Day in honor of street renaming.



ADULT EDUCATION HIGHLIGHTS

More than 450 students took classes including English for Speakers of Other Languages (ESOL), basic literacy, GED preparation in English and Spanish, citizenship and computer skills.

Students took part in our immigration counseling and free tax preparation

services, and also benefited from referrals to other services.

In FY2012 we provided counseling to students in English, Spanish, French and Arabic.

149 students completed our Home Health Aide Training Program to date and 106 are already working.

Our 19-year-old Writing Through Reading program, in partnership with the 92nd Street Y, has helped nearly 200 ESOL and GED students learn English and improve their reading and writing skills. Visiting authors for the 2011-2012 season included world-renowned writers Pico Iyer, Brian Turner, Ilan Stavans and Kay Ryan.



After-School & Summer



OCTOBER

“Working with Miniya is easy, relationship-wise, and I just want to do what is best for her.” — Phyllis Ferber, Literacy Tutor

Sunday

Monday

Tuesday

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Friday

Saturday

1913: Music school is founded at Union Settlement which goes on to become the Manhattan School of Music.

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COLUMBUS DAY

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HALLOWEEN



YOUTH: IN-SCHOOL PROGRAM HIGHLIGHTS

In its second year, the Union Settlement/OASIS Intergenerational Tutoring Program paired senior volunteer tutors with over 100 at-risk children in six local elementary schools. This individualized tutoring is achieving great improvements in the children's reading skills.

175 youngsters ages 5 to 12 participated in our after-school programs. They benefited from tutoring, computer instruction, visual and performing arts projects, and a full day summer camp.

We worked with 140 adolescents ages 10-21 providing comprehensive pregnancy prevention services and sexual health education.

150 middle school students participated in academic, personal and cultural enrichment activities, and programs in leadership development, sexual literacy and college preparation.





NOVEMBER

"My aide is the best thing that has happened to me since my stroke." — Patient

Sunday

Monday

Tuesday

Wednesday

Thursday

Friday

Saturday

1932: Union Settlement opens one of the City's first birth control clinics.

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DÍA DE LOS MUERTOS

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ELECTION DAY

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VETERAN'S DAY

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FIRST NIGHT OF HANNUKKAH

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THANKSGIVING

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HOME CARE HIGHLIGHTS

More than 370 patients received care from Union Settlement Home Care aides, enabling them to continue to live independently. Our aides provide basic health care, administer medications, prepare healthy foods and offer companionship and assistance with bathing and grooming.

This year we added a process to assess the skills of our aides and implemented training, so that they can care for the increasingly complex needs of our patient base.

Regular home visits by nurses provided supervision and guidance to our aides, improving overall basic health and well-being of the patients and often identifying unmet needs or risks to be addressed.



Holidays



DECEMBER

*"I love the Christmas parties at Union Settlement.
I look forward to it every year!"* — Jefferson Senior Center participant

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25 CHRISTMAS	26 FIRST NIGHT OF KWANZAA	27	28
29	30	31 NEW YEAR'S EVE				

December 1895: First Union Settlement kindergarten opens.



HOLIDAY SEASON HIGHLIGHTS

Union Settlement partnered with corporate donors and non-profits to distribute over 350 Thanksgiving pantry bags filled with turkeys, fresh vegetables and fixings to East Harlem families.

14 corporate and non-profit partners collected holiday gifts for over 1,000 children and seniors at Union Settlement centers.

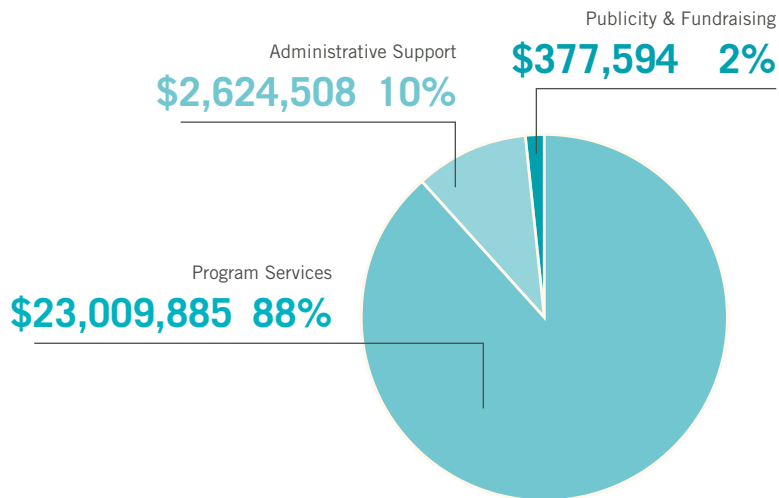
Each year Union Settlement holds holiday meals on Thanksgiving and Christmas Day so that seniors who no longer live near family can celebrate with friends.



STATEMENT OF FINANCIAL POSITION

For the fiscal year ended June 30, 2012

	2011 AUDITED	2012 UNAUDITED
ASSETS		
Cash & Cash Equivalents	\$609,927	\$61,897
Investments	1,110,968	249,911
Accounts Receivable	2,254,953	2,397,857
Prepaid Expenses	11,243	52,292
Due from Government Agencies	1,555,591	2,133,899
Fixed Assets, Net	117,524	110,811
Other Assets	17,200	16,600
Total Assets	\$5,677,406	\$5,023,267
LIABILITIES AND NET ASSETS		
Liabilities:		
Accounts Payable	\$1,344,955	\$1,322,957
Accrued Wages and Fringes	1,999,186	2,039,066
Deferred Income	277,135	287,505
Due to Government Agencies	468,313	1,433,211
Liability for Pension Benefits	2,812,493	4,577,447
Loan Payable	75,608	
Total Liabilities	\$6,977,690	\$9,660,186
Net Assets:	(1,300,284)	(4,636,919)
Total Liabilities and Net Assets	\$5,677,406	\$5,023,267



STATEMENT OF ACTIVITIES

For the fiscal year ended June 30, 2012

	2011 AUDITED	2012 UNAUDITED
TOTAL SUPPORT AND REVENUES		
Foundations/Corporations	\$658,819	\$734,621
Individuals	213,127	152,969
In-Kind Services	1,488,318	1,503,722
Other Community Groups	1,067,050	1,733,139
Government Grants and Contracts	8,431,612	8,329,365
Program and Managed Care	13,401,173	11,628,337
Special Events	305,141	378,794
Bequest	1,995,755	—
Other Revenues	1,290,477	2,210,068
Total Support and Revenues	\$28,851,472	\$26,671,015
EXPENDITURES		
Youth Services	\$2,719,017	\$2,954,697
Early Childhood Services	6,445,525	6,481,320
Services for Older Adults	2,401,450	1,592,599
Counseling and HIV Care Services	3,848,921	4,122,515
Adult Education	663,445	359,662
Home Care Program	6,879,509	8,272,048
Other Program Services	52,018	
Total Program Services	\$23,009,885	\$23,782,841
ADMINISTRATIVE COSTS		
Administrative Support	\$2,624,508	\$2,727,320
Publicity and Fundraising	377,594	432,535
Total Administrative Costs	\$3,002,102	\$3,159,855
Total Expenditures	\$26,011,987	\$26,942,696
Change in Net Assets	2,839,485	(271,681)
Unrealized Pension Plan Market Gain (Loss)	(1,116,558)	(1,764,954)
Net Assets—Prior Year	(5,256,327)	(1,300,284)
Total Net Assets at End of Year	\$(1,300,284)	\$(3,336,919)

Fiscal Year 2012 Individual, Corporate and Foundation Supporters

Gifts of \$100,000 and above

The Clark Foundation

Gifts of \$50,000–\$99,999

FJC - A Foundation of

Philanthropic Funds

Russell Grinnell Memorial Trust

MetLife Foundation

The New York Women's Foundation

The Bernard & Anne Spitzer Foundation

van Ameringen Foundation

Gifts of \$25,000–\$49,999

Louis & Anne Abrons Foundation

Altman Foundation

The Goodman Memorial Foundation

Morgan Stanley Foundation

Neuberger Berman Foundation

Pine Tree Foundation of New York

Maxine L. Rockoff & Wesley A. Clark

The Teagle Foundation

Isaac H. Tuttle Fund

Verizon Foundation

Gifts of \$15,000–\$24,999

Lily Auchincloss Foundation

Catha & David K. Carlson

Frances L. & Edwin L. Cummings

Memorial Fund

Empire Blue Cross/Blue Shield

Eli & Jennifer Gross

Kenworthy-Swift Foundation

Estate of Burt Lancaster

The William J. & Dorothy K.

O'Neill Foundation

Ruth E. Pachman & Donald T. Fallati

Popular Community Bank

Gifts of \$10,000–\$14,999

Congregation Emanu-El

Debevoise & Plimpton, LLP

Demarest Lloyd, Jr. Foundation

Stephen & Myrna Greenberg

Philanthropic Fund

Anne Gumowitz

Caren Heller & Fabrizio Michelassi

Elisabeth S. & Ashley Lonsdale

Stuart Meiklejohn

Mount Sinai Medical Center

New York Presbyterian

Healthcare System

New York Presbyterian Hospital

David Nocenti

Mary Ochadlik

Rhodebeck Charitable Trust

RLM Finsbury

Edith M. Schweckendieck Trusts

Michael A. Stocker

Szilvia Szmuk Tanenbaum

Gifts of \$5,000–\$9,999

The Barker Welfare Foundation

Theodore H. Barth Foundation

Bloomberg

Edith C. Blum Foundation

Bryan Cave LLP

BTMU Foundation

Helen V. Cantwell & Mark Racanelli

The City University of New York

Dammann Fund

Kalaivani S. & T.J. Duane

Mr. & Mrs. Norman Fields

Food Bank for New York City

Caitlin J. Halligan

Reginald E. Harwell

Kekst and Company

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